

04/12/01  
J1041 U.S. PTO

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PTO/SB/08 (03-01)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

### APPLICATION ELEMENTS

See MPEP chapter 800 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 24)  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 5)
5. Oath or Declaration (Total Pages 1)
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

### ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

Name	WILLIAM DAVID STEADMAN				
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Signature	[Signature]		Date	4/12/01	

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04/12/01

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Approved for use through 10/31/2002. OMB 0851-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)430.00

## Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

- ☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.37

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	75.00

SUBTOTAL (1) (\$)430.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 80	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 820*	112 820*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
128 180	128 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
189 900	189 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)

WILLIAM DAVID STEADMAN

Signature

*W.D. Steadman*

Registration No.

Attorney/Agent

Complete (if applicable)

Telephone

561.335.0685

Date

4-12-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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I hope this is all correct.

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[illegible]

FR  
(NAME ONLY)



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40 S.E. Federal Highway  
Stuart, Florida 34997  
(561) 220-8886

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04/12/01

PHONE	ZONE	POUNDS
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ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
WASHINGTON DC 20231

**F**  
**R**  
**O**  
**M**

NAME MR WILLIAM O. STEADMAN  
STREET 2166 S.E. PYRAMID ROAD  
CITY/STATE FORT ST. LUCIE, FL  
ZIP CODE 34952  
PHONE (861) 335-0685  
TODAY'S DATE 04-12-01  
SHIP VIA ~~REGULAR~~ EXPRESS MAIL  
DESCRIPTION OF ARTICLES Documents EE119726693US  
DECLARED VALUE C.O.D. AMOUNT \$ \$

**CHARGES**

SHIPPING	PACKING	OTHER
\$ 16.50	\$	\$
\$	\$	\$

1. The carrier for all parcels accepted at U.S. Post-N-Ship, herein referred to as USPS, shall be herein referred to as carrier. Parcels accepted by USPS from customer, hereinafter referred to as shipper, are subject to refusal for shipment by carrier.

FRAGILE YES \_\_\_ NO \_\_\_ PACKED BY CUSTOMER YES \_\_\_ NO \_\_\_

2. USPS does not accept for shipment, without adequate insurance coverage provided by carrier, parcels containing: 1) glass or other fragile items, 2) contents exceeding \$100 in value and 3) hazardous materials.

3. USPS shall act as agent only for the above receipt and forwarding of parcel(s) by the shipper whose name and address appear above. USPS assumes no liability for the successful completion of delivery of the parcel(s) accepted for shipment nor for loss or damages by any cause to the parcel(s) or the contents thereof, in the event of loss or damage to said parcel(s) USPS will act as an agent on behalf of the shipper for the filing and processing of claims. It is hereby expressly agreed that USPS shall have no liability if any claim is denied or paid only in part by the carrier.

(Customer Initials)

4. It is also agreed, that provided the shipper has indicated the "Declared Value" and paid the appropriate fees, the carrier's liability is limited to the repair or replacement value if lost or damaged items of readily ascertainable market value, not to include items of sentimental value. If parcel(s) should arrive damaged, the receiver must arrange for inspection of the parcel(s) with the carrier's local agent.

5. USPS is not liable for the failure of the carrier to properly collect or remit funds for COD parcels. Furthermore, receiver's check shall be accepted for COD's at shipper's risk unless otherwise noted on COD tag.

SHIPPING CHARGES	\$
PACKING CHARGES	\$
MISC CHARGES	\$
TOTAL	\$

6. Shipper shall not hold USPS liable for failure to make timely delivery on delivery date specified. Any statement by USPS as to probable date of delivery by carrier is a statement of opinion only, and shall not be warranted in any manner. USPS shall not be liable for any delays in shipments or deliveries by USPS and/or carrier.

7. The foregoing constitutes the full and complete agreement between USPS and shipper, and supercedes all prior/suggested representations either written or oral.

Claims Not Made in Writing to USPS  
Within 3 Months of Shipment Date are Waived.